

Regulation No 86 | 03.05.2024

The file format and structure of the supervisory reports to be submitted by central securities depositories to Latvijas Banka

1. The central securities depository shall prepare:
   1. the supervisory reports referred to in Paragraphs 3.1, 3.2 and 3.3 of the Regulation in XBRL (eXtensible Business Reporting Language) or XLSX (Microsoft Excel Open XML) file format in accordance with the taxonomy and XLSX file template published on Latvijas Banka's website (<https://www.bank.lv/ta/xbrl-taksonomijas>);
   2. The "Incident report" referred to in Paragraph 3.4 of the Regulation in XLSX (Microsoft Excel Open XML) file format.
2. The file name format shall be *aaa*\_*yyyymmdd***.xbrl** or *aaa*\_*yyyymmdd***.xlsx** (*aaa*\_*yyyymmdd***.p7m** – encrypted and electronically signed file extension), where:
   1. *aaa* – file name prefix:
      1. "COREP-OF" – for the reports referred to in Paragraph 3.1 of the Regulation;
      2. "CSDPR" – for the reports referred to in Paragraphs 3.2 and 3.3 (Appendices 1–4 to the Regulation);
      3. "INCIDENT" – for the "Incident report" referred to in Paragraph 3.4 (Appendix 5 to the Regulation);
   2. *yyyymmdd*– the last day of the period for which information has been prepared, where *yyyy*– year, *mm*– month, *dd –* date.
3. Upon digital signing and encrypting the file, it shall be placed into the UNAS/OUT folder of the file exchange service.
4. The currency used in the supervisory reports referred to in Paragraphs 3.1, 3.2 and 3.3 of the Regulation shall be the euro, formatted with two decimal places. A decimal point (symbol ".") shall be used for separating decimal fractions. A minus sign shall be used to indicate negative values.
5. The following general information shall be indicated for the supervisory reports referred to in Paragraphs 3.1, 3.2 and 3.3:
   1. *Period Start*– the date of the first day of the reporting quarter;
   2. *Period End*– the date of the last day of the reporting quarter;
   3. *Identifier* – the assigned unique three-digit identifier;
   4. *Scheme* – SC;
   5. *Currency* – EUR;
   6. *Language* – en;
   7. *Filing indicators* – a feature of the report indicating which of the report tables must be filled in. Value "*positive*" shall be indicated in the field *required*.
6. If the file for a report referred to in Paragraphs 3.1, 3.2 or 3.3 of the Regulation is prepared in XLSX file format, additional information shall be indicated:
   1. The XLSX file template prepared by Latvijas Banka comprises all the tables that must be filled in for the report. The sequence of the pages in the file and the placement of the tables in these pages shall not be changed;
   2. on the first page of the file (titled *TOC*), general information about the report shall be provided according to the provisions stipulated in Paragraph 5.
7. Requirements for the information to be submitted for the "Incident report" referred to in Paragraph 3.4:

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| Item | Item code | Requirements for the information to be submitted |
| A | B | 0010 |
| Incident identifier | 0010 | the internal identifier of the market participant |
| Date and time of occurrence | 0020 | dd-mm-yyyy hh:mm |
| Estimated elimination time | 0030 | dd-mm-yyyy hh:mm |
| Reason and description | 0040 | text |
| The incident is related to cyber security | 0050 | text |
| Time of elimination | 0060 | dd-mm-yyyy hh:mm |
| Additional description | 0070 | text |
| Description of elimination process | 0080 | text |
| Impact and consequences (incl. financial) | 0090 | text |
| Future actions to prevent such incidents | 0100 | text |