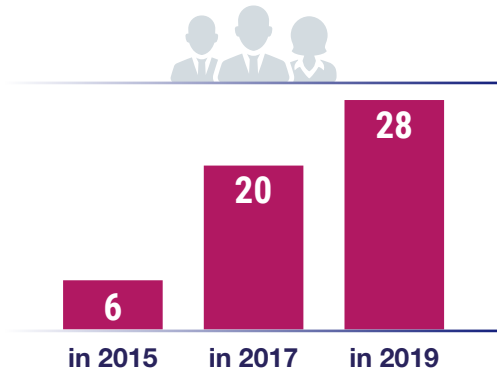


## NUMBER OF STAFF IN COMPLIANCE CONTROL AREA



## ON-SITE INSPECTIONS OF BANKS



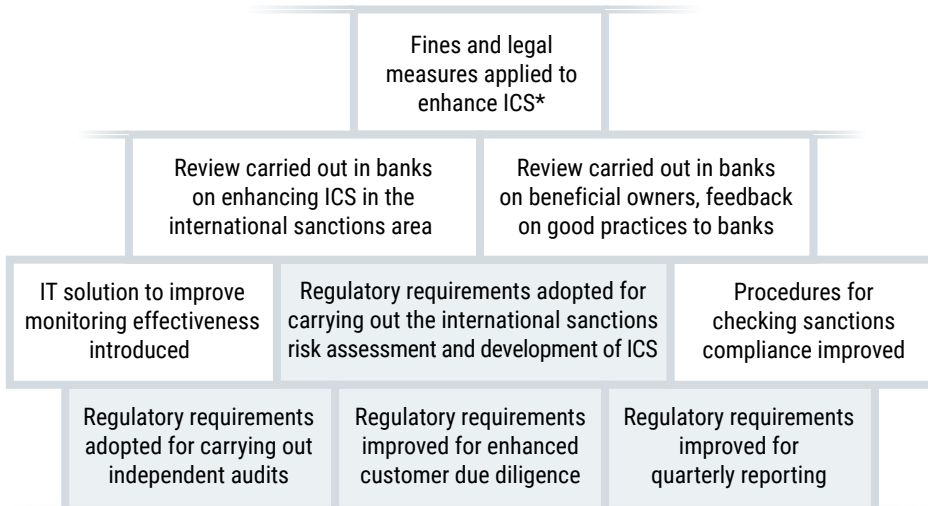
In 2018 – 2019, all high-risk banks have been subjected to the FCMC on-site inspections

## IMPOSED PENALTIES ON BANKS 2016-2019



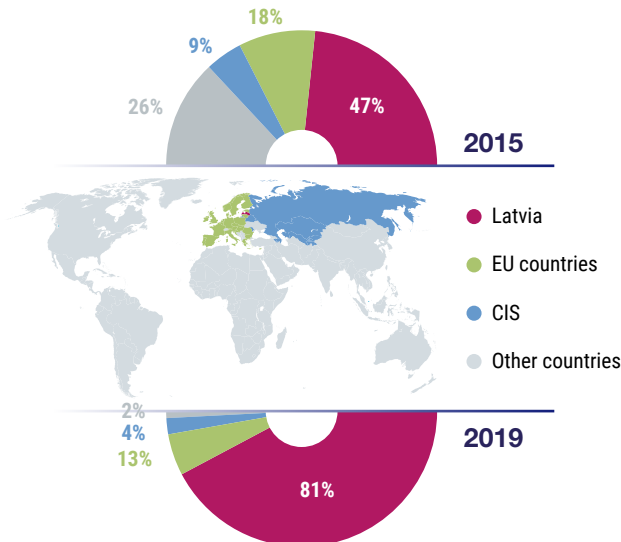
All banks have undergone money laundering risk assessment and broken down by risk groups

## OTHER MEASURES TO STRENGTHEN SUPERVISION AND TO MITIGATE RISK LEVELS



\* Internal Control System

## GEOGRAPHICAL STRUCTURE OF LATVIAN BANK DEPOSITS



## PRIORITY AREAS IN THE FUTURE

1 Strategic dialogue between the FCMC and Latvian financial market participants with a view to enhancing a common understanding of the application of regulatory framework

2 Improvement of risk assessment-based monitoring process, further implementation of bank new business models and supervision thereof

3 Strengthening and boosting the FCMC's supervisory capacity